

03-07-02

A

03/06/02

U.S. PTO  
10/06/02

03/06/02

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

62385

Total Pages

First Named Inventor or Application Identifier

Date

Express Mail Label No.

ET122657145US

For new nonprovisional applications under 37 CFR 1.53(b)

## PROCESS FOR PREPARING A COSMETIC FORMULATION

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

6. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification [Total Pages 16]

7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- (preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

3. ☐ Drawing(s) (35 USC 113) [Total Sheets 0]

4. ☐ Declaration and Power of Attorney [Total Pages 0]

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
[Note Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference  
The entire disclosure of the prior application identified in  
Box 18 is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

14. ☐ Associate Power of Attorney

15. ☐ Sealed envelope containing confidential information,  
which Applicants may request to be expunged from  
the application file.

16. ☒ Authorization for payment of fees and Petition for  
Extensions of Time.

17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Amend the specification by inserting before the first line, the sentence: "This application is a

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_."

## 19. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label

or ☐ Correspondence address below

000109

PATENT TRADEMARK OFFICE

NAME

ADDRESS

CITY

STATE

ZIP CODE

Please direct any telephone communication regarding this application to the undersigned Attorney/Agent for Applicants:

*Norman T. Sims*  
Norman T. Sims

Reg. No.: 30,685

Phone No.: 248-391-6455

Fax No.: 889-636-3237

Assistant Commissioner for Patents  
Washington, D. C. 20231

Attorney's Case No. : 62385

Application of : James E. Pate, Dale C. Schmidt, David L. Malotky, Anthony S.  
Drager, Christian Piechocki

For: PROCESS FOR PREPARING A COSMETIC FORMULATION

No. of Drawing Sheets:

EXPRESS MAIL MAILING LABEL NO.

ET122657145US

DATE OF DEPOSIT: March 6, 2002

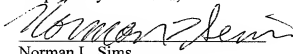
Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee	<u>\$740.00</u>
Total Number of Claims	<u>19</u>
Less (Basic Fee)	<u>20</u>
B. Extra Claims	<u>0</u> x \$ 18.00 = \$0
Total Number Independent Claims	<u>3</u>
Less (Basic Fee)	<u>3</u>
C. Extra Independent Claims	<u>0</u> x \$ 84.00 = \$0
D. Multiple Dependent Claims Presented	+ \$280.00 = \$0
TOTAL FILING FEE (A+B+C+D) =	<u>\$740.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,



Norman L. Sims

Registration No.: 30,685

Phone: 248-391-6455

THE DOW CHEMICAL COMPANY  
Intellectual Property  
P.O. Box 1967  
Midland, MI 48641-1967  
Date: March 6, 2002  
NLS/RSW/caa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): James E. Pate, Dale C. Schmidt, David L. Malotky, Anthony S. Drager, Christian Piechocki

Attorney Docket No.: 62385

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: PROCESS FOR PREPARING A COSMETIC FORMULATION

EXPRESS MAIL MAILING LABEL NO.

ET122657145US

DATE OF DEPOSIT: March 6, 2002

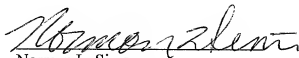
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,



Norman L. Sims

Registration No.: 30,685

Phone: 248-391-6455

Date: March 6, 2002

P. O. Box 1967  
Midland, MI 48641-1967

NLS/RSW/caa